

jc923 U.S. PTO  
08/31/00

09-01-00

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Appl. Trans.  
PATENT

**UTILITY PATENT  
APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*

Attorney Docket No. AP32573-A-A / 072396.0203

First Named Inventor Robbins et al.

Express Mail Label No. EK 839857927

Total Pages 156

jc796 U.S. PTO  
09/653182  
08/31/00

August 31, 2000

**BY EXPRESS MAIL - Label No. EK839857927**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Robbins et al. entitled IDENTIFICATION OF PEPTIDES THAT FACILITATE UPTAKE AND CYTOPLASMIC AND/OR NUCLEAR TRANSPORT OF PROTEINS, DNA AND VIRUSES

which includes:

<input checked="" type="checkbox"/> Specification	<u>70</u>	Total Pages
<input checked="" type="checkbox"/> Claims	<u>22</u>	Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u>	Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>38</u>	Total Sheets
<u>  </u> formal		
<input checked="" type="checkbox"/> informal		

☐ Combined Declaration and Power of Attorney    Total Pages

☐ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application No.   

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of copending application Serial No.    filed   ."

Appln. Trans.  
PATENT

Attorney Docket No. AP32573-A-A / 072396.0203

- ☐ An Assignment of the invention to University of Pittsburgh of the Commonwealth System of Higher Ed.  
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  
☒ will follow.  
☐ has been filed in the prior application

☒ Small Entity Statement(s) **ENCLOSED**.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☒ Other Sequence Listing in paper and computer readable form and Declaration

☐ Cancel in this application original claims \_ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee				\$345.00			
Total Claims	59	-20 = 39	x 9 =	\$351.00	x 18 =		\$0.00
Ind Claims	11	-3 = 8	x 39 =	\$312.00	x 78 =		\$0.00
Multiple Dependent Claim		4 + 130 =		\$130.00	+ 260 =		
			Total	<u>\$1,138.00</u>			<u>\$0.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$1,138.00

☐ Recording Assignment \$0.00  
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$1,138.00

☒ A check in the amount of \$1,138.00 to cover filing fee is enclosed.

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PATENT

Attorney Docket No. AP32573-A-A / 072396.0203

Priority

- ☐ Priority of application Country   , Appln. No.    filed    is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country   , Appln No.   , filed   .
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N   .
- ☒ Priority of U.S. Provisional Application No. 60/151,980 filed September 1, 1999 and U.S. Provisional Application No. 60/188,944 filed March 13, 2000 is claimed under 35 U.S.C. 119(e).
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By

Rochelle K. Seide  
Rochelle K. Seide

PTO Registration No. 32,300

Enclosures

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robbins et al.

Filing Date: August 31, 2000

Serial No.: TBA

Group Art: TBA

Title of Invention: IDENTIFICATION OF PEPTIDES THAT FACILITATE  
UPTAKE AND CYTOPLASMIC AND/OR NUCLEAR TRANSPORT OF  
PROTEINS, DNA AND VIRUSES

**SUBMISSION OF SEQUENCE LISTING AND DECLARATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

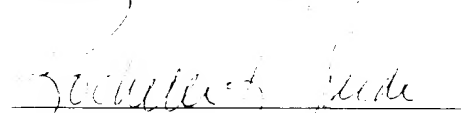
Sir or Madam:

Applicant submits herewith a Sequence Listing in paper and computer readable form for the above-identified application submitted concurrently herewith.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted herewith in accordance with 37 C.F.R. § 1.82(f), does not include new matter.

Respectfully submitted,



Rochelle K. Seide  
Patent Office Reg. No. 32,300

Attorney for Applicants  
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